

```
/******  
** Notes on Performance Application Programming Interface  
**  
** Intended audience: Those who would like to learn more about  
** measuring program execution time in modern computer systems.  
**  
** Used: CPE 631 Advanced Computer Systems and Architectures  
**       CPE 619 Modeling and Analysis of Computer and Communication Systems  
**  
** ver 0.1, Spring 2005 PAPI-2.5.x  
** ver 1.0, Spring 2006 PAPI-3.0.7  
** ver 2.0, Spring 2007 PAPI-3.5.0 (changed interface)  
** ver 3.0, Spring 2011 PAPI-4.1.0  
** ver 4.0, Spring 2012 PAPI-5.3.0  
** ver 5.0, Spring 2014 PAPI-5.3.0  
  
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*****/
```

# Performance Application Programming Interface

PAPI stands for Performance Application Programming Interface. It is a portable and efficient API (Application Programming Interface) to access hardware performance monitoring registers found on most modern microprocessors. The PAPI project is being developed by the ICL at the University of Tennessee.

1. To Learn More: <http://icl.cs.utk.edu/papi/> (ultimate source of information)

2. Required reading:

User's Guide ([http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI\\_USER\\_GUIDE.pdf](http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI_USER_GUIDE.pdf) or [http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI\\_USER\\_GUIDE.htm](http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI_USER_GUIDE.htm))

This is a general overview of the PAPI library, with an introduction to the major feature areas, and comprehensive examples on usage.

3. Other documents (not required, but could be useful) can be found at:

<http://icl.cs.utk.edu/projects/papi/files/documentation/>

3.a. Programmer's Reference

([http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI\\_Prog\\_Ref.pdf](http://icl.cs.utk.edu/projects/papi/files/documentation/PAPI_Prog_Ref.pdf))

This is a technical reference (man pages) for PAPI programmers, providing detailed information on each call in the PAPI library, including calling sequences and examples.

4. To play with PAPI on Linux machine (Fedora 13), follow the steps below.

4.a. Login to your account.

4.b. Setup your account to have access to PAPI.

PAPI system variables should be in your path.

We will be using the papi 5.3.0 located in the /opt/papi-5.3.0 directory.

\* Add path to papi utility programs and demo examples.

They are located in the papi bin directory and share directory.

To do that edit the .bashrc file in your home directory to include the following line.

```
export PATH=$PATH:./:/opt/papi-5.3.0/bin:/opt/papi-5.3.0/share/papi/ftests
```

\* Add path to PAPI libraries.

To do that edit the .bashrc file in your home directory to include the following lines.

```
export LD_LIBRARY_PATH=$LD_LIBRARY_PATH:/opt/papi-5.3.0/lib
export LIBRARY_PATH=$LIBRARY_PATH:/opt/papi-5.3.0/lib
```

\* Locate the PAPI include files in /opt/papi-5.3.0/include.

4.c. To see some predefined examples:

```
>cd /opt/papi-5.3.0/share/papi/ctests
```

```
>cd /opt/papi-5.3.0/share/papi/ftests
```

You can copy these directories and study examples that demonstrate the use of PAPI.

4.d. You can run some papi utilities

\* To get the current version of the papi:

```
<<~~~~~
[milenka@eb136i-nsf02 ~]$ papi_version
PAPI Version: 5.3.0.0
~~~~~>>
```

\* To get available events:

```
<<~~~~~
[milenka@eb136i-nsf02 ~]$ papi_avail
Available events and hardware information.
-----
PAPI Version           : 5.3.0.0
Vendor string and code : GenuineIntel (1)
Model string and code  : Intel(R) Xeon(R) CPU           X5570  @ 2.93GHz (26)
CPU Revision           : 5.000000
CPUTID Info            : Family: 6  Model: 26  Stepping: 5
CPU Max Megahertz      : 2927
CPU Min Megahertz      : 1596
```

```

Hdw Threads per core      : 2
Cores per Socket         : 4
Sockets                   : 1
NUMA Nodes                : 1
CPUs per Node             : 8
Total CPUs                : 8
Running in a VM           : no
Number Hardware Counters : 7
Max Multiplex Counters    : 64
-----

```

The following correspond to fields in the PAPI\_event\_info\_t structure.

Name	Code	Avail	Deriv	Description (Note)
PAPI_L1_DCM	0x80000000	Yes	No	Level 1 data cache misses
PAPI_L1_ICM	0x80000001	Yes	No	Level 1 instruction cache misses
PAPI_L2_DCM	0x80000002	Yes	Yes	Level 2 data cache misses
PAPI_L2_ICM	0x80000003	Yes	No	Level 2 instruction cache misses
PAPI_L3_DCM	0x80000004	No	No	Level 3 data cache misses
PAPI_L3_ICM	0x80000005	No	No	Level 3 instruction cache misses
PAPI_L1_TCM	0x80000006	Yes	Yes	Level 1 cache misses
PAPI_L2_TCM	0x80000007	Yes	No	Level 2 cache misses
PAPI_L3_TCM	0x80000008	Yes	No	Level 3 cache misses
PAPI_CA_SNP	0x80000009	No	No	Requests for a snoop
PAPI_CA_SHR	0x8000000a	No	No	Requests for exclusive access to shared cache line
PAPI_CA_CLN	0x8000000b	No	No	Requests for exclusive access to clean cache line
PAPI_CA_INV	0x8000000c	No	No	Requests for cache line invalidation
PAPI_CA_ITV	0x8000000d	No	No	Requests for cache line intervention
PAPI_L3_LDM	0x8000000e	Yes	No	Level 3 load misses
PAPI_L3_STM	0x8000000f	No	No	Level 3 store misses
PAPI_BRU_IDL	0x80000010	No	No	Cycles branch units are idle
PAPI_FXU_IDL	0x80000011	No	No	Cycles integer units are idle
PAPI_FPU_IDL	0x80000012	No	No	Cycles floating point units are idle
PAPI_LSU_IDL	0x80000013	No	No	Cycles load/store units are idle
PAPI_TLB_DM	0x80000014	Yes	No	Data translation lookaside buffer misses
PAPI_TLB_IM	0x80000015	Yes	No	Instruction translation lookaside buffer misses
PAPI_TLB_TL	0x80000016	Yes	Yes	Total translation lookaside buffer misses
PAPI_L1_LDM	0x80000017	Yes	No	Level 1 load misses
PAPI_L1_STM	0x80000018	Yes	No	Level 1 store misses
PAPI_L2_LDM	0x80000019	Yes	No	Level 2 load misses
PAPI_L2_STM	0x8000001a	Yes	No	Level 2 store misses
PAPI_BTAC_M	0x8000001b	No	No	Branch target address cache misses
PAPI_PRF_DM	0x8000001c	No	No	Data prefetch cache misses
PAPI_L3_DCH	0x8000001d	No	No	Level 3 data cache hits
PAPI_TLB_SD	0x8000001e	No	No	Translation lookaside buffer shutdowns
PAPI_CSR_FAL	0x8000001f	No	No	Failed store conditional instructions
PAPI_CSR_SUC	0x80000020	No	No	Successful store conditional instructions
PAPI_CSR_TOT	0x80000021	No	No	Total store conditional instructions
PAPI_MEM_SCY	0x80000022	No	No	Cycles Stalled Waiting for memory accesses
PAPI_MEM_RCY	0x80000023	No	No	Cycles Stalled Waiting for memory Reads
PAPI_MEM_WCY	0x80000024	No	No	Cycles Stalled Waiting for memory writes
PAPI_STL_ICY	0x80000025	No	No	Cycles with no instruction issue
PAPI_FUL_ICY	0x80000026	No	No	Cycles with maximum instruction issue
PAPI_STL_CCY	0x80000027	No	No	Cycles with no instructions completed
PAPI_FUL_CCY	0x80000028	No	No	Cycles with maximum instructions completed
PAPI_HW_INT	0x80000029	No	No	Hardware interrupts
PAPI_BR_UCN	0x8000002a	Yes	No	Unconditional branch instructions
PAPI_BR_CN	0x8000002b	Yes	No	Conditional branch instructions
PAPI_BR_TKN	0x8000002c	Yes	No	Conditional branch instructions taken
PAPI_BR_NTK	0x8000002d	Yes	Yes	Conditional branch instructions not taken
PAPI_BR_MSP	0x8000002e	Yes	No	Conditional branch instructions mispredicted

PAPI_BR_PRC	0x8000002f	Yes	Yes	Conditional branch instructions correctly predicted
PAPI_FMA_INS	0x80000030	No	No	FMA instructions completed
PAPI_TOT_IIS	0x80000031	Yes	No	Instructions issued
PAPI_TOT_INS	0x80000032	Yes	No	Instructions completed
PAPI_INT_INS	0x80000033	No	No	Integer instructions
PAPI_FP_INS	0x80000034	Yes	No	Floating point instructions
PAPI_LD_INS	0x80000035	Yes	No	Load instructions
PAPI_SR_INS	0x80000036	Yes	No	Store instructions
PAPI_BR_INS	0x80000037	Yes	No	Branch instructions
PAPI_VEC_INS	0x80000038	No	No	Vector/SIMD instructions (could include integer)
PAPI_RES_STL	0x80000039	Yes	No	Cycles stalled on any resource
PAPI_FP_STAL	0x8000003a	No	No	Cycles the FP unit(s) are stalled
PAPI_TOT_CYC	0x8000003b	Yes	No	Total cycles
PAPI_LST_INS	0x8000003c	Yes	Yes	Load/store instructions completed
PAPI_SYC_INS	0x8000003d	No	No	Synchronization instructions completed
PAPI_L1_DCH	0x8000003e	Yes	Yes	Level 1 data cache hits
PAPI_L2_DCH	0x8000003f	Yes	Yes	Level 2 data cache hits
PAPI_L1_DCA	0x80000040	Yes	No	Level 1 data cache accesses
PAPI_L2_DCA	0x80000041	Yes	No	Level 2 data cache accesses
PAPI_L3_DCA	0x80000042	Yes	Yes	Level 3 data cache accesses
PAPI_L1_DCR	0x80000043	Yes	No	Level 1 data cache reads
PAPI_L2_DCR	0x80000044	Yes	No	Level 2 data cache reads
PAPI_L3_DCR	0x80000045	Yes	No	Level 3 data cache reads
PAPI_L1_DCW	0x80000046	Yes	No	Level 1 data cache writes
PAPI_L2_DCW	0x80000047	Yes	No	Level 2 data cache writes
PAPI_L3_DCW	0x80000048	Yes	No	Level 3 data cache writes
PAPI_L1_ICH	0x80000049	Yes	No	Level 1 instruction cache hits
PAPI_L2_ICH	0x8000004a	Yes	No	Level 2 instruction cache hits
PAPI_L3_ICH	0x8000004b	No	No	Level 3 instruction cache hits
PAPI_L1_ICA	0x8000004c	Yes	No	Level 1 instruction cache accesses
PAPI_L2_ICA	0x8000004d	Yes	No	Level 2 instruction cache accesses
PAPI_L3_ICA	0x8000004e	Yes	No	Level 3 instruction cache accesses
PAPI_L1_ICR	0x8000004f	Yes	No	Level 1 instruction cache reads
PAPI_L2_ICR	0x80000050	Yes	No	Level 2 instruction cache reads
PAPI_L3_ICR	0x80000051	Yes	No	Level 3 instruction cache reads
PAPI_L1_ICW	0x80000052	No	No	Level 1 instruction cache writes
PAPI_L2_ICW	0x80000053	No	No	Level 2 instruction cache writes
PAPI_L3_ICW	0x80000054	No	No	Level 3 instruction cache writes
PAPI_L1_TCH	0x80000055	No	No	Level 1 total cache hits
PAPI_L2_TCH	0x80000056	Yes	Yes	Level 2 total cache hits
PAPI_L3_TCH	0x80000057	No	No	Level 3 total cache hits
PAPI_L1_TCA	0x80000058	Yes	Yes	Level 1 total cache accesses
PAPI_L2_TCA	0x80000059	Yes	No	Level 2 total cache accesses
PAPI_L3_TCA	0x8000005a	Yes	No	Level 3 total cache accesses
PAPI_L1_TCR	0x8000005b	Yes	Yes	Level 1 total cache reads
PAPI_L2_TCR	0x8000005c	Yes	Yes	Level 2 total cache reads
PAPI_L3_TCR	0x8000005d	Yes	Yes	Level 3 total cache reads
PAPI_L1_TCW	0x8000005e	No	No	Level 1 total cache writes
PAPI_L2_TCW	0x8000005f	Yes	No	Level 2 total cache writes
PAPI_L3_TCW	0x80000060	Yes	No	Level 3 total cache writes
PAPI_FML_INS	0x80000061	No	No	Floating point multiply instructions
PAPI_FAD_INS	0x80000062	No	No	Floating point add instructions
PAPI_FDV_INS	0x80000063	No	No	Floating point divide instructions
PAPI_FSQ_INS	0x80000064	No	No	Floating point square root instructions
PAPI_FNV_INS	0x80000065	No	No	Floating point inverse instructions
PAPI_FP_OPS	0x80000066	Yes	Yes	Floating point operations
PAPI_SP_OPS	0x80000067	Yes	Yes	Floating point operations; optimized to count scaled single precision vector operations
PAPI_DP_OPS	0x80000068	Yes	Yes	Floating point operations; optimized to count scaled double precision vector operations
PAPI_VEC_SP	0x80000069	Yes	No	Single precision vector/SIMD instructions
PAPI_VEC_DP	0x8000006a	Yes	No	Double precision vector/SIMD instructions

```
PAPI_REF_CYC 0x8000006b Yes No Reference clock cycles
```

```
-----
Of 108 possible events, 64 are available, of which 17 are derived.
```

```
avail.c PASSED
~~~~~>>
```

#### 4.f. You can run papi tests from ctest and ftest directories. E.g.,

```
<<~~~~~
[milenka@eb136i-nsf02 ctests]$ first
Test case 1: Non-overlapping start, stop, read.
-----
Default domain is: 1 (PAPI_DOM_USER)
Default granularity is: 1 (PAPI_GRN_THR)
Using 20000000 iterations of c += a*b
-----
Test type   :      1      2      3      4      5
PAPI_FP_INS: 40000211 40000180 80000362 120000590 120000590
PAPI_TOT_CYC: 200078129 203678021 405324079 606527023 606527023
-----
```

#### Verification:

```
Row 1 Column 1 at least 20000000
% difference between PAPI_FP_INS: 1 & 2: 100.00
% difference between PAPI_TOT_CYC 1 & 2: 98.23
Column 1 approximately equals column 2
Column 3 approximately equals 2 * column 2
Column 4 approximately equals 3 * column 2
Column 4 exactly equals column 5
```

```
first.c PASSED
~~~~~>>
```

\* To see the source code for this example read first.c, e.g.

```
<<~~~~~
[milenka@eb136i-nsf02 ~]$ more /opt/papi-5.3.0/share/papi/ctests/first.c
~~~~~>>
```

#### 4.g. To see events supported by your substrate run.

```
<<~~~~~
[milenka@eb136i-nsf02 ~]$ papi_native_avail | more
Available native events and hardware information.
```

```
-----
PAPI Version           : 5.3.0.0
Vendor string and code : GenuineIntel (1)
Model string and code  : Intel(R) Xeon(R) CPU           X5570  @ 2.93GHz (26)
CPU Revision           : 5.000000
CPUID Info             : Family: 6  Model: 26  Stepping: 5
CPU Max Megahertz     : 2927
CPU Min Megahertz     : 1596
Hdw Threads per core  : 2
Cores per Socket      : 4
Sockets                : 1
NUMA Nodes             : 1
CPUs per Node          : 8
Total CPUs             : 8
Running in a VM        : no
Number Hardware Counters : 7
Max Multiplex Counters : 64
-----
```

```

=====
Native Events in Component: perf_event
=====
| UNHALTED_CORE_CYCLES
|   Count core clock cycles whenever the clock signal on the specific
|   core is running (not halted)
|   :e=0      edge level (may require counter-mask >= 1)
|   :i=0      invert
|   :c=0      counter-mask in range [0-255]
|   :t=0      measure any thread
|   :u=0      monitor at user level
|   :k=0      monitor at kernel level
|-----|
| INSTRUCTION_RETIRED
|   Count the number of instructions at retirement
|   :e=0      edge level (may require counter-mask >= 1)
|   :i=0      invert
|   :c=0      counter-mask in range [0-255]
|   :t=0      measure any thread
|   :u=0      monitor at user level
|   :k=0      monitor at kernel level
|-----|
--More--

```

## 5. To help you get started I prepared a small example

### 5.a. Copy the example from /opt/arch.tut/test.papi

```

<<~~~~~>>
[milenka@eb136i-nsf02 test.papi]$ cp -R /opt/arch.tut/test.papi .
[milenka@eb136i-nsf02 test.papi]$ cd test.papi
~~~~~>>

```

### 5.b. Examine Makefile

```

<<~~~~~>>
[milenka@eb136i-nsf02 test.papi]$ cat Makefile.test.papi
arrsum_papi: arrsum_papi.o
        gcc -lpapi arrsum_papi.o -o arrsum_papi

arrsum_papi.o: arrsum_papi.c
        gcc -I/opt/papi-5.3.0/include -O0 -c arrsum_papi.c

clean:
        rm *.o
        rm arrsum_papi
~~~~~>>

```

\* If you want to learn more about GNU make visit  
<http://www.gnu.org/software/make/manual/make.html>

5.c. Examine the `arrsum_papi.c` (sums up elements of an integer array).

Observe PAPI events, use of PAPI functions, etc.

What metrics do we use in reporting performance?

How do we calculate them?

```
<<~~~~~
[milenka@eb136i-nsf02 test.papi]$ joe arrsum_papi.c
~~~~~>>
```

5.d. Compile the program.

```
<<~~~~~
[milenka@eb136i-nsf02 test.papi]$ make -f Makefile.test.papi
gcc -lpapi arrsum_papi.o -o arrsum_papi

~~~~~>>
```

5.e. Run the program and study the result (play with different sizes)

```
<<~~~~~
[milenka@eb136i-nsf02 test.papi]$ ./arrsum_papi 16384
1748829075018089216.000000
TOT_INS for summing up:      163847
TOT_CYC for summing up:      164051
Caclulated CPI: 1.001247

~~~~~>>
```

You may also calculate the total time needed to sum up elements of this array  $(164051/2.93\text{exp}(9))=55$  us.

5.f. If you want to see the assembly code for this example type in the following:

```
<<~~~~~
[milenka@eb136i-nsf02 test.papi]$ gcc -S -O0 arrsum_papi.c -lpapi
~~~~~>>
```

`arrsum_papi.s` file will be generated.

5.g. To probe further:

- \* Add other events in test program (cycles, cache misses, etc).
- \* Change compiler optimization (in Makefile replace `-O0` with `O3`)
- \* Play with other compilers (e.g., Intel's `icc`).
- \* Make your own examples

6. To learn more about

- \* gcc go to: <http://gcc.gnu.org/onlinedocs/gcc/>
- \* GNU make tools: <http://www.gnu.org/software/make/manual/make.html>